



PATENT 2814

Case Docket No. ASMEX.328A

Date: October 16, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Todd et al.
Appl. No. : 10/074,633
Filed : February 11, 2002
For : DEPOSITION OVER MIXED
SUBSTRATES
Examiner : Long Pham
Group Art Unit : 2814

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

October 16, 2003

(Date)

Joseph J. Mallon
Joseph J. Mallon, Reg. No. 39,287

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Joseph J. Mallon
Joseph J. Mallon
Registration No. 39,287
Attorney of Record
Customer No. 20,995
(619) 235-8550



Docket No.: ASMEX.328A

Customer No. 20,995

INFORMATION DISCLOSURE STATEMENT

Applicant : Michael A. Todd
App. No. : 10/074,633
Filed : February 11, 2002
For : DEPOSITION OVER MIXED
SUBSTRATES
Examiner : Long Pham
Group Art Unit : 2814

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/16/2003

By: Joseph J. Mallon
Joseph J. Mallon
Registration No. 39,287
Attorney of Record
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(619) 235-8550

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ASMEX.328AAPPLICATION NO.
10/074,633INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Michael A. ToddFILING DATE
February 11, 2002GROUP
2814

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1.	5,389,398	02/14/95	Suzuki et al.	427	130	
	2.	5,698,771	12/16/97	Shields et al.	73	31.05	
	3.	6,228,181	05/08/01	Yamamoto et al.	148	33.5	
	4.	6,326,311	12/04/01	Ueda et al.	438	694	
	5.	6,455,892	09/24/02	Okuno et al.	257	328	
	6.	6,613,695	09/02/03	Pomarede et al.	438	767	

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

EXAMINER INITIAL		
	7.	Ikoma et al., Growth of Si/3C-SiC/Si(100) heterostructures by pulsed supersonic free jets, Applied Physics Letters, Volume 75, No. 25, Pp. 3977-3979, December 1999

S:\DOCS\JOM\JOM-5364.DOC:101603

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.